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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Takahiro SUGIMOTO

Group Art Unit: 2131

Application No.: 09/853,708

Filed: May 14, 2001

Docket No.: 109460

For: METHOD AND APPARATUS FOR ESTABLISHING A SECURITY POLICY, AND  
METHOD AND APPARATUS FOR SUPPORTING ESTABLISHMENT OF SECURITY  
POLICY

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

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Technology Center 2100

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. English-language Abstracts of the non-English language references are attached hereto.

Respectfully submitted,

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JAO:PDM/kys  
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Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460		APPLICATION NO. 09/853,708	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT(S) Takahiro SUGIMOTO			
				FILING DATE May 14, 2001		GROUP 2131	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
		JPH7-141296A	06/02/1995	Japan			
		JPH7-262135A	10/13/1995	Japan			
		JPH11-168511A	06/22/1999	Japan			
		JP2000-253066A	09/14/2000	Japan			
		JP2001-251307A	09/14/2001	Japan			
		JP273377H	10/05/2001	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
		Sun World Volume 9 No. 8, pp. 64-75, August 1, 1999					
		U.S. Patent Application No. 09/690,901 filed October 18, 2000					
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: June 11, 2002